



PATENT  
DOCKET NO.: 2685/5895

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03-27-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Radhika R. ROY **RECEIVED**  
SERIAL NO. : 09/974,789 FEB 06 2002  
FILED : 12 October 2001 Technology Center 2600  
FOR : METHOD AND APPARATUS FOR  
PROVIDING COMMON INTELLIGENT  
VALUE-ADDED SERVICE PROTOCOLS  
FOR ACCESSING VALUE-ADDED  
SERVICES BY ALL MULTIMEDIA  
GROUP ART UNIT : 2661

ASSISTANT COMMISSIONER  
FOR PATENTS  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 & § 1.98**

S I R:

In conformance with Applicant's duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(3), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

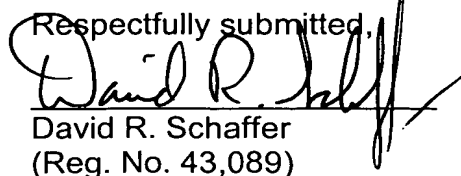
In accordance with the requirements of 37 C.F.R. § 1.98, copies of the references are submitted herewith.

**Statement of Relevancy**

The references were cited in the International Search Report of the corresponding PCT/US01/42680. A copy of the Search Report is also enclosed.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

  
David R. Schaffer  
(Reg. No. 43,089)

Date: 4 February 2002

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**FORM PTO-1449  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)**

Sheet 1 of 1

**RECEIVED**

**FEB 06 2002**

Atty Docket No.: 2685/5895  
Serial No. : 09/974,789  
Applicants : R. R. ROY  
Filed : 12 October 2001  
Group Art Unit : 2661  
Examiner :

**Technology Center 2600**

**U.S. PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Patent Number</u>	<u>Patent Date</u>	<u>Name</u>	<u>Class/ Subclass</u>	<u>Filing Date</u>
	5,943,408	08/24/99	CHEN et al.	379/207	

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class/ Subclass</u>	<u>Translation Yes No</u>
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**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner  
Initial

1. F. Imhoff: *Efficient Integration and Translation of Value Added Services for Converging Networks Using Middleware Platforms*, 9<sup>th</sup> Inter. Conf. On Computer Communication and Networks, Oct. 200, pp. 164-169
2. H.F. Badran: *Service Provider Networking Infrastructures With MPLS*, 6<sup>th</sup> IEEE Symposium on Computers and Communication, 2001, pp. 312-318

**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.